



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

U.S. Application of:

Hideki KAWAI

For:

GLASS SUBSTRATE FOR AN INFORMATION  
RECORDING MEDIUM AND INFORMATION  
RECORDING MEDIUM EMPLOYING IT

Confirmation No.:

8210

U.S. Serial No.:

10/784,447

Filed:

February 23, 2004

Group Art Unit:

1755

Examiner:

To Be Assigned

**MAIL STOP AMENDMENT**

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: MAIL STOP AMENDMENT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on

June 29, 2005

Date of Deposit

Thomas N. Tarnay

Name of Applicant, Assignee, or Registered Representative

Signature

June 29, 2005

Date of Signature

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §1.97(e)**

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56 and § 1.97(e), Applicant wishes to bring the following items to the attention of the Examiner. A copy of each item is enclosed for the convenience of the Examiner.

No representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of this material in any way reflects its relative pertinence. Specifically, this presentation is not an admission that the items listed below are properly citable against the above-identified application.

Serial No.: 10/784,447

Submitted herewith:

(1) "Japanese" Search Report and "Japanese" Written Opinion, having a mailing date of November 22, 2004, concerning international application number PCT/US2004/014955, along with English translations thereof;

(2) Japanese Patent Application Laid-Open No. (A) 2000-207730, (ISHIZUKA GLASS CO LTD), published July 28, 2000; Together with a copy of an English-language abstract thereof;

(3) Japanese Patent Application Laid-Open No. (A) 2000-082211, (HOYA CORP), published March 21, 2000; Together with a copy of an English-language abstract thereof; and

(4) International Published Application (A1) No. WO 98/55993, (HOYA CORPORATION), having a publication date of December 10, 1998.

The Japanese Patent Application Laid-Open Nos. (A) 2000-207730 reference (2), and (A) 2000-082211 reference (3), and International Published Application (A1) No. WO 98/55993 reference (4), above, are cited in the item (1), "International Search Report and Written Opinion", which indicate the degree of relevances found by the foreign office.

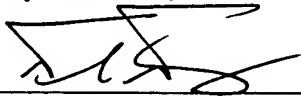
In accordance with 37 CFR § 1.97(e), it is hereby certified that each item of information contained in this Supplemental Information Disclosure Statement is being first cited in a foreign communication in a counterpart foreign application. As this Supplemental Information Disclosure Statement is being filed after the three months from the mailing of the International Search Report and Written Opinion, the required fee of \$180.00 under 37 C.F.R. § 1.97(c)(2) for the submission of the Supplemental Information Disclosure Statement should be charged to Sidley Austin Brown & Wood LLP's Deposit Account No. 18-1260. Please credit any overpayment to Deposit

Serial No.: 10/784,447

Account No. 18-1260. The Supplemental Information Disclosure Statement (three pages) is being filed in duplicate.

Respectfully submitted,

By: \_\_\_\_\_

  
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DAI 329175v.1

Substitute for form 1449A/PTO

**SUPPLEMENTAL  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

**Complete if Known**

Application Number	10/784,447
Confirmation No.	8210
Filing Date	February 23, 2004
First Inventor	Hideki KAWAI
Group Art Unit	1755
Examiner Name	To Be Assigned
Attorney Docket No.	15162/05680

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite #	DOCUMENT NUMBER	C O D E	PATENTEE	ISSUE DATE (mm/dd/yy)	CLASS	SUB CLASS	Filing Date if Appropriate

**FOREIGN PATENT DOCUMENTS**

Examiner Initials		O F F I C E	NUMBER	C O D E	PUBLICATION DATE (mm/dd/yy)	TRANSLATION Yes No
	(2)	JP	2000-207730	A	07/28/2000	ABSTRACT ONLY
	(3)	JP	2000-082211	A	03/21/2000	ABSTRACT ONLY
	(4)	WO	98/5593	A1	12/10/1998	ABSTRACT ONLY

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	(1)	"Japanese" Search Report and "Japanese" Written Opinion, having a mailing date of November 22, 2004, concerning international application number PCT/US2004/014955, along with English translations thereof
Examiner Signature		Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.

